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## UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorney Docket No.    | T-6012  | 70  |
|------------------------|---|-----|
| First Inventor         | Stephen J. Miller et al.                          | 40  |
| Title                  | Production of High Quality Lubricant Bright Stock | 107 |
| Express Mail Label No. | FV 171091872 US                                   |     |

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

| APPLICATION ELEMENTS  |  |   | ADDE  | RESS TO:   | Commiss  | ioner for Patents               |   |  |  |
|---|--|---|---|--|--|---------------------------------|---|--|--|
| See MPEP chapter 600 concerning utility patent application contents.      |  |   | 7,55,   |  | P.O. Box<br>Alexandri  | 1450<br>a VA 22313-1450         |   |  |  |
| 2.  | Submit an original of Applicant claim See 37 CFR 1. Specification  |   | processing)<br>tus.<br>otal Pages 20 ]  | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: |  |                                 |   |  |  |
| }   | Descriptive title<br>Cross Reference   | of the Invention<br>te to Related Applicated arding Fed sponsored | itions  | i. ☐ CD-ROM or CD-R (2 copies); or<br>ii. ☐ Paper  |  |                                 |   |  |  |
|   |  | equence listing, a tab<br>rogram listing appen                    |   | c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  |  |                                 |   |  |  |
| -<br>-<br>-   | Background of Brief Summary  | the Invention<br>of the Invention<br>n of the Drawings ( if       |   | 9. 🛭   |  | Papers (cov                     | ver sheet & document(s)) nent   |  |  |
| {   | Abstract of the I  | Disclosure  |   | 11.  | English Translation Document (if applicable)   |                                 |   |  |  |
|   | rawing(s) (35<br>Declaration   |   | Total Sheets 2  | 12. 🖾  | Information Disclosure   |                                 |   |  |  |
|   |  | ted (original or co   | ·   | 13.  | Preliminary A  |                                 |   |  |  |
| b. Copy from a prior application (37 CFR 1.63 (d))                        |  |   |   |  | <ol> <li>Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</li> </ol> |                                 |   |  |  |
| (for a continuation/divisional with Box 18 completed)                     |  |   |   | 15. Certified Copy of Priority Document(s)   |  |                                 |   |  |  |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) |  |   |   | (if foreign priority is claimed) 16.  Nonpublication Request under 35 U.S.C. 122   |  |                                 |   |  |  |
| named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).        |  |   |   |  | (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.                        |                                 |   |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76                                |  |   |   |  | 17.  Other:  |                                 |   |  |  |
| 18. If a CON  | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, |   |   |  |  |                                 |   |  |  |
|   |  | heet under 37 CFR   |   | (0(0)  | -64  |                                 |   |  |  |
|   | ☐ Continuation ☐ Divisional ☐ Continuation-in-part (C<br>Prior application information: Examiner                                   |   |   |  |  | application N                   | o:/   |  |  |
| is considered   | d a part of the di   | sclosure of the acco  | The entire disclosure of the<br>empanying or divisional appentity omitted from the sub- | dication and   | is hereby incorp   | an oath or de<br>orated by refe | eclaration is supplied under Box 5b, erence. The incorporation can only |  |  |
|   | <del></del>  |   | 19. CORRESPON   |  |  |                                 |   |  |  |
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| Country   | United States Telephone  |   | (925) 842-  | (925) 842-1546   |  | (925) 842-2051                  |   |  |  |
| Name (Print/  | Туре)  | Steven H. Roth  | F   | egistration N  | lo. (Attorney/Age  | ent)                            | 28,467  |  |  |
| Signature   |  | 81  | 7/. R   | 1  |  | Date                            | September 9, 2003   |  |  |
| This collection   | of information is  | required by 37 CFR 1  | 1.53(h). The information is re  | quired to obta   | in or retain a hen   | ofit by the nub                 | lic which is to file (and by the USPTO to                               |  |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| FEE TRANSMITTAL   |  |                |   |                | Complete if Known                             |                               |              |  |  |               |  |  |
|---|--|----------------|---|----------------|---|-------------------------------|--------------|--|--|---------------|--|--|
|   |  |                |   |                | Application Number Unassigned                 |                               |              |  |  |               |  |  |
| for FY 2003   |  |                |   |                | Filing t                                      | Filing Date September 9, 2003 |              |  | ember 9, 2003  |               |  |  |
| 5m  |  |                |   |                | First Named Inventor Stephen J. Miller et al. |                               |              |  |  |               |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision.   |  |                |   |                | Examiner Name Unassigned                      |                               |              |  |  |               |  |  |
| Applicant claims small entity status. See 37 CFR 1.27   |  |                |   |                | Art Unit Unassigned                           |                               |              |  |  |               |  |  |
| TOTAL AMOU  | NT OF PA   | YMENT          | (\$) 790                                    |                | Attorney Docket No. T-6012                    |                               |              |  |  |               |  |  |
| METHOD OF PAYMENT (check all that apply)  |  |                |   |                |   | FEE CALCULATION (continued)   |              |  |  |               |  |  |
| ☐ Check ☐ Cr  | adit aard  | □ Moss         | · C Other C t                               | lone           | 3. ADDITIONAL FEES                            |                               |              |  |  |               |  |  |
| Crieck C  | eon card   | Order          | y 🗌 Other 🗍 t                               | vone           | Large Entity Small Entity                     |                               |              |  |  |               |  |  |
| Deposit Account   | nt:  |                |   |                | Fee   | Fee                           | Fee          | Fee  |  |               |  |  |
| Deposit   |  |                |   | <del> 1</del>  | Code  | (\$)                          | Code         | (\$)   | Fee Description  | Fee Paid      |  |  |
| Account   | 03-1620  |                |   | ļ              | 1051  | 130                           | 2051         | 65   | Surcharge - late filing fee or oath                                |               |  |  |
| Number  |  |                |   |                | 1052  | 50                            | 2052         | 25   | Surcharge - late provisional filing fee or cover sheet.            |               |  |  |
| Deposit<br>Account  | ChayronTa  | xaco Corpo     | ration                                      | i              | 1053  | 130                           | 1053         | 130  | Non-English specification  |               |  |  |
| Name  | Cheviolite   | xaco corpo     | ladon                                       | ļ              | 1812  | 2,520                         | 1812         | 2,520  | For filing a request for reexamination                             |               |  |  |
| The Director is au  | thorized to  | : (check a     | all that apply)                             | <del></del> _  | 1804  | 920*                          | 1804         | 920*   | Requesting publication of SIR prior to<br>Examiner action          |               |  |  |
| The Director is authorized to: (check all that apply)  ☑ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application |  |                |   |                | 1805  | 1,840*                        | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                |               |  |  |
| ☐ Charge fee(s) in  | idicated bel   | ow, excep      |   |                | 1251  | 110                           | 2251         | 55   | Extension for reply within first month                             |               |  |  |
| to the above-identified deposit account.  FEE CALCULATION   |  |                |   |                | 1252  | 410                           | 2252         | 205  | Extension for reply within second month                            |               |  |  |
| 1. BASIC FI   | <del></del>  |                |   |                |   | 930                           | 2253         | 465  | Extension for reply within third month                             | <b>  </b>     |  |  |
|   | TILING FEE Small Entity  |                |   |                |   | 1,450                         | 2254         | 725  | Extension for reply within fourth                                  |               |  |  |
|   | ee Fee   | Fee De         | escription                                  |                | l   | ł                             |              |  | month  |               |  |  |
| Code (\$) C   | ode (\$)   |                |   | Fee Paid       | 1255  | 1,970                         | 2255         | 985  | Extension for reply within fifth month                             | <b>  </b>     |  |  |
|   | 2001 375 Utility filing fee 750                                |                |   |                | 1401<br>1402                                  | 320<br>320                    | 2401         | 160  | Notice of Appeal   | <b>—</b>      |  |  |
| i - i -   | 2002 165 Design filing fee 2003 260 Plant filing fee           |                |   |                | 1402  | 280                           | 2402<br>2403 | 160<br>140   | Filing a brief in support of an appeal<br>Request for oral hearing | <b></b>       |  |  |
|   |  |                |   |                | l   |                               |              |  | Petition to institute a public use                                 | $\vdash$      |  |  |
|   | 2004 375 Reissue filing fee<br>2005 80 Provisional filling fee |                |   |                | 1451  | 1,510                         | 1451         | 1,510  | proceeding   |               |  |  |
| 1000   100   20   | ,05 00   | TIOVISI        | onal ming lee                               |                | 1452  | 110                           | 2452         | 55   | Petition to revive – unavoidable                                   |               |  |  |
|   | SUBTO  | TAL (1)        | [   | (\$) 750       | 1453  | 1,300                         | 2453         | 650  | Petition to revive – unintentional                                 |               |  |  |
| 2. EXTRA CLAI   | M EEES E   | OP LITH        | ITY AND DEIS                                | SHE            | 1501  | 1,300                         | 2501         | 650  | Utility issue fee (or reissue)                                     | <u> </u>      |  |  |
| Z. EXTRAGEA   | m i Eco i  | Extra          |   |                | 1502<br>1503                                  | 470<br>630                    | 2502<br>2503 | 235<br>315   | Design issue fee Plant issue fee                                   | <b>  </b>     |  |  |
|   |  | Claim          |   | Paid_          | 1460  | 130                           | 1460         | 130  | Petitions to the Commissioner                                      | <b>  </b>     |  |  |
| Total Claims 18   | -20 **   | = 0            | X <u>18</u>                                 | = 0            | 1807  | 50                            | 1807         | 50   | Processing fee under 37 CFR 1.17 (q)                               | $\vdash$      |  |  |
| Independent<br>Claims 1   | -3**   | = 0            | X 84  | = 0            | 1806  | 180                           | 1806         | 180  | Submission of Information Disclosure<br>Stmt                       |               |  |  |
| Multiple Dependent  X = 0   |  |                |   | 8021           | 40  | 8021                          | 40           | Recording each patent assignment per property (times number of | 40   |               |  |  |
| Large Entity Fee Fee  | Small En   | Foo            |   |                | 1809  | 750                           | 2809         | 375  | properties) Filing a submission after final rejection              | <del>  </del> |  |  |
| Code (\$)   |  | (\$) <u>Fe</u> | e Description                               |                |   | - }                           |              |  | (37 CFR § 1.129(a))  |               |  |  |
| 1202 18   |  |                | aims in excess of 20                        |                | 1810  | 750                           | 2810         | 375  | For each additional invention to be                                |               |  |  |
| 1201 84   |  |                | dependent claims in                         |                |   | ł                             |              |  | examined (37 CFR § 1.129(b))                                       | <del></del>   |  |  |
| 1203 280  | 2203   |                | ıltiple dependent cla<br>Reissue independer |                | 1801  | 750                           | 2801         | 375  | Request for Continued Examination (RCE)                            | }             |  |  |
| 1204 84   | 2204   | 4∠ ori         | ginal patent                                |                | 1802  | 900 [                         | 1802         | 900  | Request for expedited examination of a design application          |               |  |  |
| 1205 18   | 2205   |                | Reissue claims in ex<br>er original patent  | Cess of 20 and | Other fe                                      | e (specif                     | y)           |  |  |               |  |  |

| SUBMITTED BY Complete (if applicable) |                |                                      |        |           |                   |  |  |
|---------------------------------------|----------------|--------------------------------------|--------|-----------|-------------------|--|--|
| Name (Print/Type)                     | Steven H. Roth | Registration No.<br>(Attorney/Agent) | 28,467 | Telephone | (925) 842-1546    |  |  |
| Signature                             | 1              | 11. K. 1                             |        | Date      | September 9, 2003 |  |  |

\*Reduced by Basic Filing Fee Paid

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\*\*or number previously paid, if greater; For Reissues, see above

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